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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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JFH/wdh

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/851,415	05/09/2001	Shunpei Yamazaki	12732-036001

JOHN F. HAYDEN
Fish & Richardson P.C.
601 Thirteenth Street, NW
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Docketed By Practice System
Action Code: <u>Heng Pts.</u>
Base Date: <u>7/5/01</u>
Due Date: <u>9/5/01</u>
Deadline: <u>1/5/02</u>
Initials: <u>SPY</u>
Record:

CONFIRMATION NO. 1902

FORMALITIES LETTER



OC00000006261180*

Date Mailed: 07/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Docketed By <u>Patent Secretary</u>
Due Date: <u>9/5/01</u>
Deadline: <u>1/5/02</u>
Initials: <u>SPY</u>

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$778. 10/10/2001 BABRAHAI 00000051 061050 09851415
 - \$378 for 21 total claims over 20.
 - \$400 for 5 independent claims over 3.

01 FC:101	740.00 CH
02 FC:105	130.00 CH
03 FC:103	378.00 CH
04 FC:102	420.00 CH
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1618.**

*A copy of this notice **MUST** be returned with the reply.*

Patentee

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei Yamazaki et al. Art Unit : 2871
Serial No. : 09/851,415 Examiner : Unknown
Filed : May 9, 2001
Title : USER IDENTITY AUTHENTICATION SYSTEM AND USER IDENTITY
AUTENTICATION METHOD AND MOBILE TELEPHONE DEVICE

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231



RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed July 5, 2001 (copy enclosed), applicant as a large entity submits herewith the following:

- Payment of the basic filing fee of \$740;
- Payment of the additional/multiple dependent claims fees of \$778;
- Check in payment of \$130 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- A Verified Statement Claiming Small Entity Status;
- Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63; and
- A verified English translation of the application and payment of the \$0 fee required under 37 CFR §1.17(k).

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: October 5, 2001

William Hare
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